

INTERNAL AUDIT PLAN 2022/23										
2022/23	% Plan Completion to Date	12.5%								
Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Assurance Rating / Engagement Type	
Fundamentals										
1122001	Capital Accounting including Fixed Asset Register	20								
1122002	Cash & Bank	15								
1122003	Creditor Payments	30								
1122004	Debtors System	20	*	*	*					
1122005	Housing Benefits	20								
1122006	Payroll System	30								
1122007	Pensions Payroll System	20	*	*	*					
1122008	VAT	15								
	Total Audit Days for Fundamentals	170								
Corporate Governance Assurance										
2122001	Annual Governance Statement/Corporate Governance Arrangements	20								
2122002	Regulatory Recommendations	10								
2122003	Data Protection	20								
2122004	Welsh Language Standards	20								
2122005	Freedom of Information	20								
2122006	Risk Management Arrangements	10								
	Total Audit Days for Corporate Governance Assurance	100								
COVID-19										
3122001	COVID-19 Duplication Checks and Payments	40	*	/	*					
3122002	COVID-19 Systems and Processes	20	*	/	*					
3122003	COVID-19 Working Groups	10	*	/	*					
	Total Audit Days for COVID-19	70								
Corporate Review Work										
4122001	CCC Companies	30								
4122002	Contract Management	20								
4122003	Procurement	20	*	*	*					
4122004	Safeguarding - Adults	10	*							
4122005	Safeguarding - Children	10	*							
4122006	Staffing Matters	20								
	Total Audit Days for Corporate Review Work	110								
Counter Fraud										
5122001	Fraud Prevention, Detection and Investigation	40	*	/	*					
5122002	Proactive Fraud Testing	20	*	/	*					
	Total Audit Days for Counter Fraud Work	60								

Grants & Certification									
6122001	Burry Port Harbour	10	*	/	*	*			
6122002	Children & Communities Grant	25	*	/	*				
6122003	RCSIG - Regional Consortia Support Improvement Grant (EIG) - EOY	15	*	*	*				
6122004	RCSIG - Regional Consortia Support Improvement Grant (EIG) - Q3	15							
6122005	Enable Grant	10	*	/	*				
6122006	Housing Support Grant - Homelessness	12							
6122007	Housing Support Grant - Rent Smart Wales	10							
6122008	Housing Support Grant - Supporting People	13							
6122009	Local Authority Education Grant	20							
6122010	Other Grants (where assurance is required for sign-off)	20							
6122011	Wales Pension Partnership	5	*	/	*	*	*	*	Accounts Return
6122012	Post 16 Grant	10							
6122013	Pupil Deprivation Grant - EOY	15	*	*					
6122014	Pupil Deprivation Grant - Q3	15							
6122015	Trust Funds - Arbour Stephens	5	*	*					
6122016	Trust Funds - Dyfed Welsh Church Fund	5	*	*					
6122017	Trust Funds - Minnie Morgan	5	*	*					
6122018	Trust Funds - Oriol Myrddin	5	*	*	*				
6122019	Regional Development Coordinator Grant	5	*	/	*				
	Total Audit Days for Grants & Certification	220							
Chief Executive's Department (not incl IT)									
7122001	Departmental Income	15	*	*	*				
7122002	Local Government & Elections Act	10							
7122003	Net Zero Carbon	20							
7122004	Regeneration & Economic Development: CRF	10							
7122005	Regeneration & Economic Development	10							
7122006	PMP: Workforce Planning	20							
	Total Audit Days for Chief Executive's Department (not incl IT)	85							
Chief Executive's Department: IT									
7222001	Cyber Security	15							
7222002	Disaster Recovery and Business Continuity	15							
	Total Audit Days for Chief Executive's Department: IT	30							
Communities									
7322001	Departmental Income	15	*	*	*				
7322002	Disabled Facilities Grants (DFGs)	15	*	*	*				
7322003	Disrepair Claims	15	*						
7322004	Leisure: Health, Fitness & Dryside portfolio	20							
7322005	Social Care (Assignment to be agreed)	20	*						
	Total Audit Days for Communities	85							
Corporate Services									
7422001	Departmental Income	15	*	*	*				
7422002	Corporate Credit Card	15							

